

Washington Metropolitan Area Transit Authority

Board Action/Information Summary

☒ Action ☐ Information

MEAD Number:
201762

Resolution:
☐ Yes ☒ No

TITLE:

Acceptance of OIG Reports

PRESENTATION SUMMARY:

Two (2) OIG performance audit reports submitted to the Audits and Investigations Committee for acceptance.

PURPOSE:

The Audits and Investigations Committee acceptance of OIG's reports entitled:

1. Follow-up Review of Findings and Recommendations from 2014 Evaluation of WMATA's Disadvantaged Business Enterprise Program
2. Audit of WMATA's Invoice Certification and Payment Process

DESCRIPTION:

Key Highlights:

1. Follow-up Review of Findings and Recommendations from 2014 Evaluation of WMATA's Disadvantaged Business Enterprise Program

This report noted the DBE Commitments/Awards and Payments submitted to the Federal Transit Administration (FTA) contained a significant number of errors, and the DBE/Small Business Dashboard was not revised to include actual DBE performance metrics. As a result, OIG made two recommendations to the Chief Procurement Officer that will help validate the accuracy of the FTA Report and improve DBE program performance.

2. Audit of WMATA's Invoice Certification and Payment Process

This report noted the PeopleSoft Financial system has controls in place to detect duplicate invoice numbers. Payments that appeared to be duplicated during our analysis were determined to be cancellations and refunds. However, OIG found operating and capital invoices were not always paid timely. In addition, OIG found invoice certification policies and procedures have not been updated in three of four departments reviewed. Based on the above findings, OIG made six recommendations to the Chief Financial Officer.

Background and History:

Per the Procedures of the WMATA Board of Directors, when the Audits and Investigations Committee determines there is no conflict remaining between the IG's findings and recommendations and management's response, it will accept the report as final, and the report and corrective action plan shall be deemed approved. Acceptance of the final report constitutes the Board's authorizations to post the report on the WMATA website provided the IG first confers with the General Counsel and confirms that any private or confidential information has been redacted in accordance with applicable law and WMATA policy.

Discussion:

The work highlighted in these reports demonstrates OIG's commitment to promoting accountability, efficiency, and effectiveness in WMATA's programs and operations and keeping the Board of Directors fully and currently informed about deficiencies in WMATA's activities, as well as the necessity for and progress of corrective actions.

There were no conflicts between the IG's findings and recommendations listed in these reports. The IG has conferred with the General Counsel and confirms that any private or confidential information has been removed/redacted in accordance with applicable law and WMATA policy.

FUNDING IMPACT:

No impact on funding	
Project Manager:	Helen Lew
Project Department/Office:	Office of Inspector General

TIMELINE:

Anticipated actions after presentation:

Audits and Investigations Committee acceptance of these two OIG performance audit reports.

RECOMMENDATION:

Audits and Investigations Committee acceptance of these two OIG performance audit reports.