WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY (WMATA)

Federal Transit Administration (FTA)
WMATA – 1398
Triennial DBE Goal-Setting Methodology
for
FFY 2023 – FFY 2025
(October 1, 2022 – September 30, 2025)

Submitted in accordance with:
Title 49 Code of Federal Regulations Part 26
(49 CFR Part 26)

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I. INTRODUCTION

In accordance with 49 CFR 26.45, the Washington Metropolitan Area Transit Authority (WMATA) sets forth its Triennial Disadvantaged Business Enterprise (DBE) Goal and corresponding goal-setting methodology for the three-year Federal Fiscal Year (FFY) goal period of 2023-2025 (October 1, 2022, through September 30, 2025).

The objectives of the DBE goal setting process are to ensure nondiscrimination in the award and administration of FTA-assisted contracts, to create a level playing field on which DBEs can compete fairly for those contracts, and ensure the DBE Program is narrowly tailored in accordance with applicable law.

II. BACKGROUND

WMATA is a recipient of U.S. Federal Transit Administration (FTA) funding threshold of $250,000. WMATA must comply with the FTA's DBE requirements to receive this financial assistance. Therefore, in accordance with 49 CFR § 26.45, WMATA is required to develop and submit a Triennial Overall DBE goal for its FTA-assisted projects. The overall DBE goal is based upon the availability of ***Ready*, *Willing*, and *Able* (RWA)** DBEs relative to all *RWA* businesses to participate in WMATA's FTA–assisted contracts.

III. FEDERAL TRANSIT ADMINISTRATION (FTA) - ASSISTED CONTRACTING OPPORTUNITIES FOR FFY 2023-2025

Table 1 represents WMATA's FTA-assisted contracting opportunities for FFYs 2023-2025, which consists of projects considered in preparing this goal methodology and the North American Industry Classification System (NAICS) Codes and descriptions associated with the discipline of work for those WMATA contracting opportunities.
These projects are anticipated to be awarded during the triennial period.

WMATA received a spreadsheet of Projected Expenditure for FFYs 2023 – 2025 from the Office of Management and Budget Services (OMBS) for all Federal Funded Projects. Then performed a high-level filtering process and selected CIPs, Projects Description, and Projected Expenditures for all 100% Federal Funded Projects.

The resulting filtering process provided 22 CIPs with WMATA's project descriptions for a total estimated cost of ***$1,991,573,621.68*** WMATA anticipates spending on Federal Assisted Projects for FFYs 2023 – 2025. WMATA then selected unique NAICS Codes with their descriptions based on WMATA's projects, specific scope of work, and CIPs.

Table 1 (a) below provides the estimated cost of ***$1,991,573,621.68*** with selected NAICS Codes and related WMATA Projects.

Table 1 (b) exhibits the same total estimated cost of ***$1,991,573,621.68*** after grouping 11 of the 22 NAICS Codes into the same category of numbers.

Table 1(a): *Expected FTA – Funded Contract Expenditures for FFYs 2023– 2025*[1].

Number	NAICS Code	WMATA Projects	Amount of FTA Funds on Projects
1)	336390	Bus Rehabilitation Program	$ 88,996,488.82
2)	237130	Track Structural Rehabilitation	-
3)	237130	Track Rehabilitation	$ 98,943,224.79
4)	237130	Radio Infrastructure Replacement	$ 75,932,332.65
5)	237130	Structural Rehabilitation - Package B	$ 58,487,975.11
6)	488210	Roadway Equipment & Vehicle Replacement	$ 78,436,361.43
7)	488210	Rail Vehicle Rehabilitation Program	$ 19,930,875.69
8)	238290	Elevator Rehabilitation Program	$ 23,969,143.78
9)	238290	Escalator Rehabilitation Program	$ 49,450,427.18
10)	238290	Escalator Replacement	$ 84,102,616.22
11)	236220	Station Entrance Canopies	$ 20,257,212.58
12)	236220	Parking Garage & Surf Lot Rehabilitation	$ 31,886,998.43
13)	236220	Station Revitalization	$ 82,713,990.40
14)	236220	Bus Customer Facility Improvement	$ 30,942,624.34
13)	236220	Bladensburg Bus Garage Rehabilitation	$ 254,292,330.34
14)	236220	Northern Bus Garage Replacement	$ 311,354,107.60
15)	238160	Roof Rehabilitation & Replacement	$ 21,099,374.66

[1] Data Source: ***Table 1(a)***: "FFY2023-FFY2025 DBE Estimates for Procurement". WMATA obtained funded contract expenditures for FFYs 2023-2025 figures and information from WMTA's ***Office of Management and Budget Services (OMBS)***. Date obtained 3/4/2022.

16)	238210	Rail Station Lighting Improvement	$ 48,551,402.50
17)	238210	Low Voltage Power State of Good Repair (SOGR)	$ 44,237,095.96
18)	238210	Traction Power State of Good Repair (SOGR)	$ 128,885,128.01
19)	236210	Heavy Repair & Overhaul Facility	$ 274,940,299.21
20)	811118	Automatic Train Control State of Good Repair (SOGR)	$ 137,223,546.07
21)	237990	Station Platform Rehab Phase 3	-
22)	237110	Rail System Drainage Rehabilitation	$ 26,940,065.91
		Total FTA - Assisted Contract Funds:	**$ 1,991,573,621.68**

Table 1(b): *Expected FTA – Funded Contract Expenditures for FFYs 2023– 2025*[2].

Number	NAICS Code	WMATA Projects	FINAL Amount of FTA Funds on Projects
1)	336390	Bus Rehabilitation Program	$ 88,996,488.82
2)	237130	Track Structural Rehabilitation	$ 333,363,532.55
		Track Rehabilitation	
		Radio Infrastructure Replacement	
		Structural Rehabilitation - Package B	
3)	488210	Roadway Equipment & Vehicle Replacement	$ 98,367,237.11
		Rail Vehicle Rehabilitation Program	
4)	238290	Elevator Rehabilitation Program	$157,522,187.18
		Escalator Rehabilitation Program	

[2] Data Source: ***Table 1(b)*** was constructed by SBPO based on data provided by WMATA ***Office of Management and Budget Services (OMBS)***. Date obtained 3/4/2022.

		Escalator Replacement	
5)	236220	Station Entrance Canopies	$ 731,447,263.69
		Parking Garage & Surf Lot Rehabilitation	
		Station Revitalization	
		Bus Customer Facility Improvement	
		Bladensburg Bus Garage Rehabilitation	
		Northern Bus Garage Replacement	
6)	238160	Roof Rehabilitation & Replacement	$ 21,099,374.66
7)	238210	Rail Station Lighting Improvement	$ 21,099,374.66
		Low Voltage Power State of Good Repair (SOGR)	
		Traction Power State of Good Repair (SOGR)	
8)	236210	Heavy Repair & Overhaul Facility	$ 274,940,299.21
9)	811118	Automatic Train Control State of Good Repair (SOGR)	$ 137,223,546.07
10)	237990	Station Platform Rehab Phase 3	-
11)	237110	Rail System Drainage Rehabilitation	$ 26,940,065.91
		Total FTA - Assisted Contract Funds:	**$ 1,991,573,621.68**

From Table 1 (b), WMATA realized some of the NAIC Codes need to be combined based on the category of NAICS Code numbers and WMATA Projects. The NAICS Code combination eliminates project duplication and double counting of DBE firms that are ready, willing, and able to perform work in each category of selected NAICs Codes in determining the Base Figure in Table 3 of Step 1 below.

IV. DETERMINATION OF LOCAL MARKET AREA

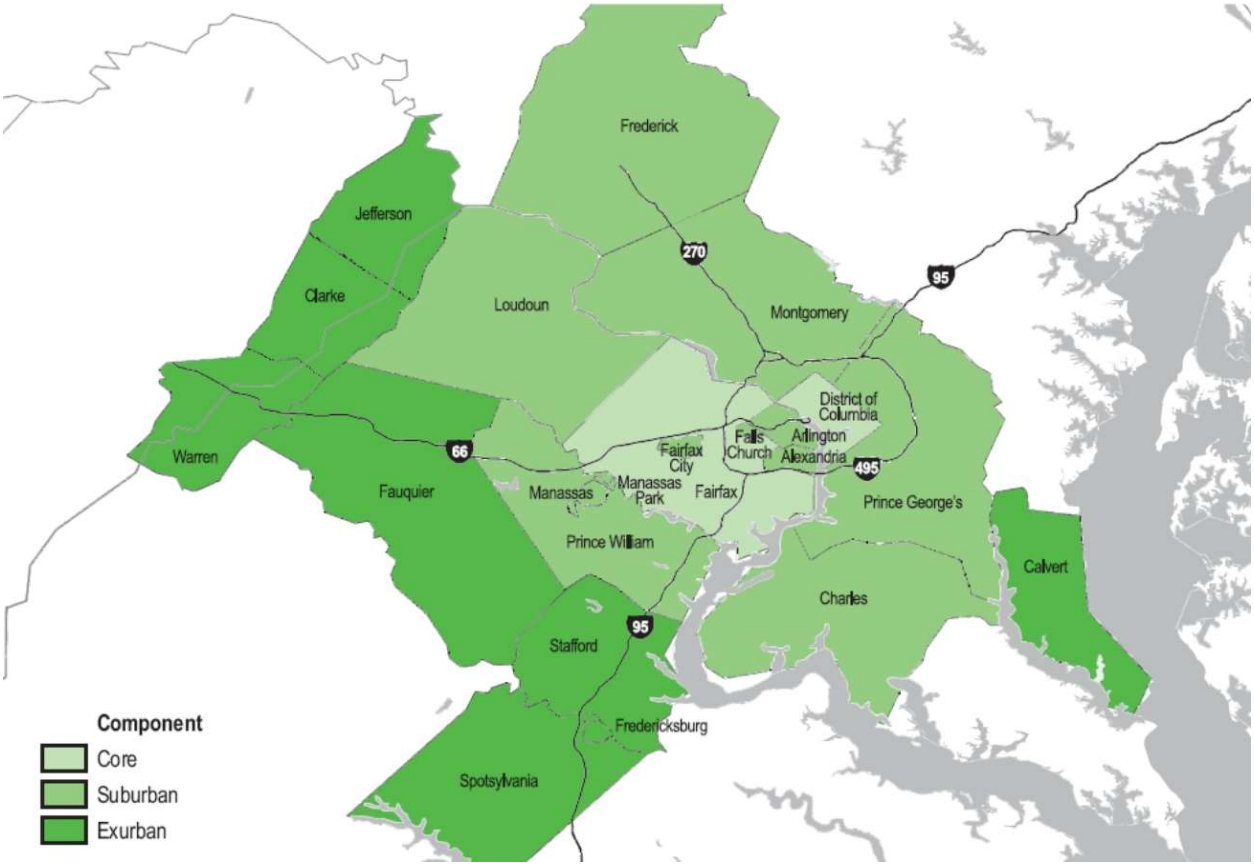
WMATA provides transit services in Washington, D.C., and sections of Maryland and Virginia. These areas include but are not limited to Montgomery and Prince George's counties in Maryland, Alexandria, Arlington, Fairfax, Fairfax City, Falls Church, and Loudon in Virginia. In addition, these are the jurisdictions where WMATA typically finds its contractors and sub-contractors. Thus, WMATA has determined that the Washington DC Metropolitan Statistical Area (WMSA) represents its local market area, and this includes the following counties within Virginia, West Virginia, Maryland, and the District of Columbia, as depicted in Table 2 and Figure 1 for Geographical Area Map of WMSA:

A. **Table 2[3]:** *Geographical Areas of Washington DC Metropolitan Statistical Area (MSA # 47900).*

Virginia	West Virginia	Maryland	District of Columbia
Alexandria City	Jefferson County	Calvert County	Washington DC
Arlington County		Charles County	
Clarke County		Frederick County	
Culpeper County		Montgomery County	
Fairfax County		Prince George's County	
Fairfax City			
Falls Church			
Fauquier County			
Fredericksburg City			
Loudoun County			
Manassas City			
Manassas Park City			
Prince William County			
Rappahannock County			
Spotsylvania County			
Stafford County			
Warren County			

[3] Data Source: ***Table 2***: SBPO obtained information from: ***US Census Bureau (County Business Patterns).*** Retrieved from https://www.census.gov/data/datasets/2019/econ/cbp/2019-cbp.html and https://www.census.gov/programs-surveys/cbp/technical-documentation/reference/metro-area-geography-reference.html .

B. **Figure 1[4]:** *Geographical Designations for the 24 Washington Metropolitan Statistical Area Counties.*



[4] Data Source: ***Figure 1:*** SBPO obtained map area from: https://familypedia.fandom.com/wiki/Washington_metropolitan_area .

V. GOAL METHODOLOGY

To ensure accuracy in determining the Base Figure, WMATA grouped values with the same category of NAICs Codes and assigned unique NAICS Codes and descriptions per WMATA Project. As a result, the grouping provided 11 unique NAICs Codes with the same total estimated cost of ***$1,991,573,621.68*** in Tables 3 and 4, which give a revised table for WMATA's Expected FTA – Funded Contract Expenditures for FFYs 2023 – 2025 involving combined values with unique NAICs Codes with their description.

The following steps describe the goal methodology used to determine this three-year goal:

A. Step 1: Determination of Base Figure (Relative Availability of DBEs) – *49 CFR Section 26.45(c)*

WMATA utilized the certified DBEs listed in the District of Columbia Unified Certification Program (DCUCP[5]), a comprehensive database and directory of DBEs that captures information on DBE vendors. This base figure expresses the availability of RWA DBEs as a percentage of all RWA firms.

WMATA used the U.S. Census Bureau's County Business Patterns (CBP) database for the Washington DC MSA[6] to determine the number of overall firms. To ensure an accurate calculation in the base figure, WMATA used the same NAICS codes used when compiling the number of DBE firms.

One constraint discovered is with the accurate number of DBEs and Non-DBEs/Firms in the market area being limited by the reliance on WMATA's DCUCP and CPB Database, potential certified DBEs may not be captured, may be over or undercounted, or may be duplicated in the directory. Therefore, WMATA used a unique approach in determining and establishing a base figure of the relative availability of DBEs to all comparable firms (DBEs and non-DBEs) available to participate in WMATA's FTA-assisted contracting opportunities in WMATA's market area.

WMATA utilized the following approach in determining the accurate number of DBEs in the Washington, DC Metropolitan Statistical Area (MSA) per WMATA's DCUCP or Vendor Directory (CLM/PeopleSoft):

- Manually captured and complied all Certified DBEs in WMATA's DCUCP or Vendor directory (CLM) under each selected unique NAICS code in Table 1 (a) or (b).
- WMATA compared data between the DCUCP DBE vendor directory and the U.S. Census Bureau data for the Washington DC Metropolitan Statistical Area (MSA) to ensure apples-to-apples with only certified DBE Firms within the Washington DC Metropolitan Statistical Area (MSA) counties.

[5] Data Source: SBPO obtained Certified DBEs data information from the ***District of Columbia Unified Certification Program (DCUCP) Directory***: Available at https://www.wmata.com/business/Small-Business-Programs-Office/District-of-Columbia-Unified-Certification-Program-DCUCP-Directory.cfm

[6] Data Source: SBPO obtained MSA Data 2019 information from the ***US Census Bureau (County Business Patterns).*** Available at https://www.census.gov/data/datasets/2019/econ/cbp/2019-cbp.html and https://www.census.gov/programs-surveys/cbp/technical-documentation/reference/metro-area-geography-reference.html

- Any Certified DBE Firms with addresses located outside Washington DC Metropolitan Statistical Area (MSA) are not counted as ***RWA DBEs*** in WMATA's market.

Relative Base Figure = [***RWA*** DBEs (data source= WMATA's DCUCP)] ÷ [All *RWA*Businesses (data source = U.S. C.B., which includes DBEs and non-DBEs)]

Thus, **468 DBEs ÷ 2829 All Businesses = 0.16542948, 16.54%.**

Therefore, the *Calculated Relative Base Figure is* ***16.54 %****, indicating* that there are 16.54 % RWA DBEs in WMATA's market area to perform work in each selected NAICS code in Table 3 below.

Table 3 shows WMATA's Projects and Expected FTA – Funded Contract Expenditures for FFYs 2020 – 2022 with Unique NAICs Code and Descriptions and Determination of the relative availability of DBEs by unique NAICs Codes.

Table 3[7]**:** *WMATA's Projects, Unique NAICs Codes with Descriptions & Relative Availability of DBE*

				(A)	(B)	*(C):* (A) ÷ (B)
Number	**WMATA Projects**	**Unique NAICS Code Description**	**Unique NAIC Codes**	**Number of DBEs available in WMSA**	**Number of all firms in WMSA**	**Relative Availability**
1)	Bus Rehabilitation Program	Other Motor Vehicle Parts Manufacturing	336390	0	0	0.00
2)	Track Structural Rehabilitation Track Rehabilitation Radio Infrastructure Replacement Structural Rehabilitation - Package B	Power and Communication Line and Related Structures Construction	237130	18	114	0.16
3)	Roadway Equipment & Vehicle Replacement Rail Vehicle Rehabilitation Program	Support Activities for Rail Transportation	488210	5	4	1.25

[7] Data Source: ***Table 3***: Obtained data information from the WMATA Office of Management and Budget Services (OMBS) FY23-FY25 Proposed Budget Summary by CIP and SBPO constructed Table 1. Date obtained 3/4/2022.

4)	Elevator Rehabilitation Program Escalator Rehabilitation Program Escalator Replacement	Other Building Equipment Contractors	238290	26	83	0.31
5)	Station Entrance Canopies Parking Garage & Surf Lot Rehabilitation Station Revitalization Bus Customer Facility Improvement Bladensburg Bus Garage Rehabilitation Northern Bus Garage Replacement	Commercial/Industrial and Institutional Building Construction	236220	130	970	0.13
6)	Roof Rehabilitation & Replacement	Roofing Contractors	238160	25	355	0.07
7)	Rail Station Lighting Improvement Low Voltage Power State of Good Repair (SOGR) Traction Power State of Good Repair (SOGR)	Electrical Contractors and Other Wiring Installation Contractors	238210	92	1096	0.08
8)	Heavy Repair & Overhaul Facility	Industrial Building Construction	236210	60	26	2.31
9)	Automatic Train Control State of Good Repair (SOGR)	Other Automotive Mechanical and Electrical Repair and Maintenance	811118	0	27	0.00

10)	Station Platform Rehab Phase 3	Railway Construction /Other Heavy and Civil Engineering Construction	237990	55	51	1.08
11)	Rail System Drainage Rehabilitation	Water and Sewer Line and Related Structures Construction	237110	57	130	0.44
			Combined Totals:	**468**	**2829**	**0.16542948**
					Overall DBE Availability:	**16.54%**

Table 4[8]**:** *Weighting Calculations.*

				(A): Table 1(b)	**(B):(A)÷Totals (A)**	**x**	**C: Table 3**	**D = B x C**
No.	**Unique NAIC Codes**	**WMATA Projects**	**Unique NAICS Code Description**	**Amount of FTA Funds on Projects ($)**	**Weight**	**x**	**Relative Availty.**	**Weighted Availty.**
1)	336390	Bus Rehabilitation Program	Other Motor Vehicle Parts Manufacturing	$ 88,996,488.82	0.05	x	0.00	0.00
2)	237130	Track Structural Rehabilitation Track Rehabilitation Radio Infrastructure Replacement Structural Rehabilitation - Package B	Power and Communication Line and Related Structures Construction	$ 233,363,532.55	0.12	x	0.16	0.02
3)	488210	Roadway Equipment & Vehicle Replacement Rail Vehicle Rehabilitation Program	Support Activities for Rail Transportation	$ 98,367,237.11	0.05	x	1.25	0.06

[8] Data Source: ***Table 4***: Obtained data information from the WMATA Office of Management and Budget Services (OMBS) FY23-FY25 Proposed Budget Summary by CIP and SBPO constructed Table 1. Date obtained 3/4/2022.

4)	238290	Elevator Rehabilitation Program Escalator Rehabilitation Program Escalator Replacement	Other Building Equipment Contractors	$ 157,522,187.18	0.08	x	0.31	0.02
5)	236220	Station Entrance Canopies Parking Garage & Surf Lot Rehabilitation Station Revitalization Bus Customer Facility Improvement Bladensburg Bus Garage Rehabilitation Northern Bus Garage Replacement	Commercial/Industrial and Institutional Building Construction	$ 731,447,263.69	0.37	x	0.13	0.05
6)	238160	Roof Rehabilitation & Replacement	Roofing Contractors	$ 21,099,374.66	0.01	x	0.07	0.00
7)	238210	Rail Station Lighting Improvement Low Voltage Power State of Good Repair (SOGR) Traction Power State of Good Repair (SOGR)	Electrical Contractors and Other Wiring Installation Contractors	$ 221,673,626.47	0.11	x	0.08	0.01
8)	236210	Heavy Repair & Overhaul Facility	Industrial Building Construction	$ 274,940,299.21	0.14	x	2.31	0.32

9)	811118	Automatic Train Control State of Good Repair (SOGR)	Other Automotive Mechanical and Electrical Repair and Maintenance	$ 137,223,546.07	0.07	x	0.00	0.00
10)	237990	Station Platform Rehab Phase 3	Railway Construction /Other Heavy and Civil Engineering Construction	$ 0.00	0.00	x	1.08	0.00
11)	237110	Rail System Drainage Rehabilitation	Water and Sewer Line and Related Structures Construction	$ 26,940,065.91	0.01	x	0.44	0.01
Total of FTA/DOT expected on Project:				**$1,991,573,621.68**	**Total Weighted Availability**			**0.4888**
					Express as a % (*100)			**48.88**
					Weighted Base Figure			**48.88%**

Note: Availty. is Availability

B. Step 2: Determining if an Adjustment is Needed (Adjusting the Weighted Base Figure) – *49 CFR Section 26.45(d)*

Potential step 2 adjustment to WMATA's overall DBE goal for FTA-funded contracts.

Adjusting the weighted base figure under step 2, WMATA considered the July 2017-June 2020 Dollars of FTA-Funded WMATA contracts by type of work from Keen's 2022 Disparity Study Summary Report**.**

Table 5[9]: *July 2017-June 2020 Dollars of FTA-Funded WMATA contracts, by type of work compiled from Keen's 2022 Disparity Study Summary Report.*

			(A)	(B): (A) ÷ Totals of (A)
NAICS	NAICS description	Type of work	FTA-funded	
			Dollars ($1,000s)	Percent
236220	Commercial and institutional building construction	Commercial and institutional building construction	331,384,000	21.88%
541330	Engineering services	Other engineering	286,015,000	18.88%
237990	Railway roadbed construction	Railroad and railway roadbed construction	267,065,000	17.63%
238290	Elevator installation	Escalator and elevator installation and repair	75,842,000	5.01%
238210	Electrical contractor and other wiring installation contractors	Other electrical work	64,320,000	4.25%
236220	Commercial and institutional building construction	Construction management	58,565,000	3.87%
541620	Environmental consulting services	Environmental consulting	51,735,000	3.42%
238210	Electrical contractor and other wiring installation contractors	Railroad electrical work	58,318,000	3.85%
423610	Electrical equipment and wiring supplies merchant wholesalers	Electrical equipment and wiring supplies	37,392,000	2.47%

[9] Data Source: ***Table 5***: Keen Independent Research, LLC 2022 Disparity Study for WMATA. Overall DBE Goal for Future-FTA Funded Contracts. Figure 21: July 2017-June 2020 Dollars of FTA-Funded WMATA contracts, by type of work compiled from Keen's 2022 Disparity Study Summary Report Page 49 and Appendix M-Page 2.

238220	Plumbing, heating, and air-conditioning contractors	Plumbing, heating, and air-conditioning work	45,616,000	3.01%
485111	Commuter transit systems, mixed mode	Contracted bus and van transportation	35,910,000	2.37%
238160	Roofing contractors	Roofing work	27,316,000	1.80%
518210	Automated data processing services	IT work	25,199,000	1.66%
541990	All other professional, scientific, and technical services	Other professional services	25,133,000	1.66%
561720	Janitorial services	Janitorial services for buildings	177,000	0.01%
541330	Engineering services	Railroad engineering	19,004,000	1.25%
238990	All other specialty trade contractors	Other construction	18,432,000	1.22%
423730	Heating and air-conditioning equipment and supplies merchant	Heating and air-conditioning equipment and supplies	9,372,000	0.62%
453998	All other miscellaneous store retailers	Other equipment and supplies	15,531,000	1.03%
541810	Advertising agencies	Advertising and public relations	13,267,000	0.88%
561621	Security systems services	Security systems services	9,875,000	0.65%
423110	Automobile and other motor vehicle merchant wholesalers	New cars and trucks	7,772,000	0.51%
212321	Construction sand and gravel mining	Construction materials and supplies	7,378,000	0.49%
238140	Masonry contractor	Masonry work	5,524,000	0.36%
238990	Paving, residential, and commercial driveway and parking lot	Surfacing and paving	4,973,000	0.33%
541370	Surveying and mapping services (except geophysical)	Surveying and mapping	3,728,000	0.25%

811490	All other personal goods repair and maintenance	Other services	3,333,000	0.22%
561320	Temporary help services	Temporary help services	2,938,000	0.19%
532412	Construction machinery and equipment rental or leasing without operator	Construction equipment rental and leasing	2,028,000	0.13%
334514	Counting device manufacturing	Fare collection equipment	759,000	0.05%
238340	Tile and Terrazzo Contractors	Tile and Terrazzo Contractors	488,000	0.03%
238910	Site preparation contractors	Site Preparation Contractors	148,000	0.01%
		Totals:	**$ 1,514,537,000**	**100.00%**

Table 6[10]**:** *July 2017-June 2020 Number of DBEs and Total Businesses in the Availability Analysis, by NAICS Codes compiled from Keen's 2022 Disparity Study Summary Report.*

		(A)	(B)	(C) = (A) ÷ (B)
NAICS	**NAICS Description**	**DBE Firms**	**Total Firms**	**DBEs as a Share of All Firms**
236220	Commercial and institutional building construction	33	177	18.64 %
541330	Engineering services	25	162	15.43%
237990	Railway roadbed construction	8	29	27.59%
238290	Elevator installation	6	25	24.00%
238210	Electrical contractor and other wiring installation contractors	19	118	16.10%
236220	Commercial and institutional building construction	18	95	18.95%
541620	Environmental consulting services	14	78	17.95%
238210	Electrical contractor and other wiring installation contractors	5	28	17.86%

[10] Data Source: ***Table 6***: Keen Independent Research, LLC 2022 Disparity Study for WMATA. Overall DBE Goal for Future-FTA Funded Contracts. Figure 22: July 2017-June 2020 Number of DBEs and Total Businesses in the Availability Analysis, by NAICS Codes compiled from Keen's 2022 Disparity Study Summary Report Page 50 and Appendix M-Page 3.

423610	Electrical equipment and wiring supplies merchant wholesalers	5	31	16.13%
238220	Plumbing, heating, and air-conditioning contractors	18	138	13.04%
485111	Commuter transit systems, mixed mode	3	17	17.65%
238160	Roofing contractors	17	80	21.25%
518210	Automated data processing services	20	153	13.07%
541990	All other professional, scientific, and technical services	-	11	0.00%
561720	Janitorial services	10	91	10.99%
541330	Engineering services	5	18	27.78%
238990	All other specialty trade contractors	5	27	18.52%
423730	Heating and air-conditioning equipment and supplies merchant wholesalers	1	20	5.00%
453998	All other miscellaneous store retailers	2	20	10.00%
541810	Advertising agencies	6	72	8.33%
561621	Security systems services	5	39	12.82%
423110	Automobile and other motor vehicle merchant wholesalers	1	14	7.14%
212321	Construction sand and gravel mining	17	99	17.17%
238140	Masonry contractor	14	77	18.18%
238990	Paving, residential, and commercial driveway and parking lot	18	68	26.47%
541370	Surveying and mapping services (except geophysical)	6	49	12.24%
811490	All other personal goods repair and maintenance	8	29	27.59%
561320	Temporary help services	6	29	20.69%
532412	Construction machinery and equipment rental or leasing without operator	1	15	6.67%
334514	Counting device manufacturing	0	3	0.00%

238340	Tile and Terrazzo Contractors	15	72	20.83%
238910	Site preparation contractors	19	99	19.19%
	Totals:	**330**	**1983**	**16.64%**

Table 7[11]**:** *July 2017-June 2020 Dollar-Weighted DBE Availability Estimates for WMATA FTA-Funded Contracts compiled from Keen's 2022 Disparity Study Summary Report.*

		(A): From Table 5	(B): From Table 6	(C): (A)*(B)
NAICS	**NAICS Description**	**Percent of FTA-Funded Contract Dollar**	**Total Firms**	**DBEs As a Share of All Firms**
236220	Commercial and institutional building construction	21.88%	18.6%	4.08%
541330	Engineering services	18.88%	15.4%	2.91%
237990	Railway roadbed construction	17.63%	27.6%	4.86
238290	Elevator installation	5.01	24.0	1.20
238210	Electrical contractor and other wiring installation contractors	4.25	16.1	0.68
236220	Commercial and institutional building construction	3.87	18.9	0.73
541620	Environmental consulting services	3.42	17.9	0.61
238210	Electrical contractor and other wiring installation contractors	3.85	17.9	0.69
423610	Electrical equipment and wiring supplies merchant wholesalers	2.47	16.1	0.40
238220	Plumbing, heating, and air-conditioning contractors	3.01	13.0	0.39
485111	Commuter transit systems, mixed mode	2.37	17.6	0.42
238160	Roofing contractors	1.80	21.3	0.38
518210	Automated data processing services	1.66	13.1	0.22
541990	All other professional, scientific, and technical services	1.66	-	-
561720	Janitorial services	0.01	11.0	0.00
541330	Engineering services	1.25	27.8	0.35
238990	All other specialty trade contractors	1.22	18.5	0.23
423730	Heating and air-conditioning equipment and supplies merchant wholesalers	0.62	5.0	0.03

[11] Data Source: ***Table7***: Keen Independent Research, LLC 2022 Disparity Study for WMATA. Overall DBE Goal for Future-FTA Funded Contracts. Figure 23: July 2017-June 2020 Dollar-Weighted DBE Availability Estimates for WMATA FTA-Funded Contracts compiled from Keen's 2022 Disparity Study Summary Report Page 51 and Appendix M-Page 4.

453998	All other miscellaneous store retailers	1.03	10.0	0.10
541810	Advertising agencies	0.88	8.3	0.07
561621	Security systems services	0.65	12.8	0.08
423110	Automobile and other motor vehicle merchant wholesalers	0.51	7.1	0.04
212321	Construction sand and gravel mining	0.49	17.2	0.08
238140	Masonry contractor	0.36	18.2	0.07
238990	Paving, residential, and commercial driveway and parking lot	0.33	26.5	0.09
541370	Surveying and mapping services (except geophysical)	0.25	12.2	0.03
811490	All other personal goods repair and maintenance	0.22	27.6	0.06
561320	Temporary help services	0.19	20.7	0.04
532412	Construction machinery and equipment rental or leasing without operator	0.13	6.7	0.01
334514	Counting device manufacturing	0.05	-	-
238340	Tile and terrazzo Contractors	0.03	20.8	0.01
238910	Site preparation contractors	0.01	19.2	0.00
	Total:	**100%**		**18.87%**

Table 7 represents the Keen Independent Research Disparity Study calculations in 2022, which impacts WMATA DBE availability, resulting in a possible upward adjustment of the weighted base figure to **18.87%.** The Keen Independent Research analysis included the same contracts their study team analyzed to determine the weighted base figure of **18.87%** (i.e., FTA-funded contracts that WMATA awarded from July 2017 through June 30, 2020).

VI. DETERMING FACTORS FOR ADJUSTMENT IN WEIGHTED BASE FIGURE:

Adjustment of the weighted base figure depends on several factors. First, step 2 requires examining relevant and reliable data in the WMATA's market area to determine if an adjustment to the base figure calculated in step1is warranted or necessary and, if any, would be required to ensure a narrowly tailored goal. Second, after establishing the weighted base figure of ***48.88%*** in Table 4 above, WMATA considered information and findings from the newly completed Disparity Study conducted by Keen Independent Research in 2022. As a result, WMATA determined that step 2 adjustment was necessary for the weighted base figure to decide its overall DBE goal.

In summary, the information below obtained from the Disparity Study, shows the factors that WMATA considered in refining its overall DBE goal for FFY 2023-2025 for FTA-federally funded contract opportunities based on the adjustment of its weighted base figure. The considering factors are:

- ***Current capacity of DBE firms to perform work, as measured by the volume of work DBE firms have performed in recent years within the last four years (FFYs 2017 – 2021):*** Due to the increased interest of DBE firms in the Washington Metropolitan Statistical Area (WMSA), an increased number of small businesses which participate in the WMSA, and local disadvantaged business program applying for DBE certification, WMATA has increased the capacity of DBE firms to perform work in FTA-assisted contracting programs and opportunities within the last four years. In addition, the limited range of NAICs Codes found in WMATA's DCUCP or Vendor directory leaves more room for DBE-Subcontractors for small business federally assisted contract opportunities, resulting in higher DBE or race neutral participation in the future. USDOT's Tips for DBE Goal Setting" suggests that federal recipients examine data on past DBE participation on their USDOT-funded contracts in recent years (i.e., the percentage of contract dollars going to DBE firms).

- ***Historical data on past participation and DBE goals and attainments in past years (last four years):*** Table 8 presents historical data on WMATA's past participation and DBE Goals and Attainments/Achievements in the last four years, i.e., FFY 2018 – 2021.

Table 8[12]: *DBE Past Participation.*

Year	FFY 2018	FFY 2019	FFY 2020	FFY 2021
DBE Goal	**22%**	**22%**	**25%**	**25%**
DBE Achievement	**21.21%**	**25.81%**	**20.45%**	**25.14%**

- ***Any disparities in the ability of DBE firms to get financing, bonding, and insurance*:** Keen's Disparity Study Analysis of financing, bonding, and insurance indicates that an upward adjustment is appropriate. However, the impact of these factors on availability could not be quantified.

- ***Other relevant factors*:** Although the impact of many barriers to the success of MBE/WBEs in the Washington DC Statistical Area (MSA) could not be precisely quantified, the evidence supports an upward adjustment.

VII. JUSTIFICATION FOR UPWARD ADJUSTMENT TO WEIGHTED BASE FIGURE:

- ***Past participation*** suggests that WMATA could make a Step 2 upward based on the available data i.e., the volume of work DBEs performed from FFY 2017-2021 revealed that large percentage of DBE firms were awarded contracts.

- Also, per Table 8 above for DBE past participation, ***the median of DBE participation*** for FTA/US-DOT Federally funded contracts for FFY 2018-2021 (for DBE attainments) was **23.18% [** (20.45%, 21.21%, 25.14%, 25.81%) = (21.21% + 25.14%) ÷2 = 40.35% ÷ 2 = **23.18%]**. This indicates upward adjustment because this value (i.e., ***23.18%)*** is

[12] Data Source: ***Table 8***: WMATA Semi-Annual Reports & Uniform DBE Reports submitted/obtained from FTA's TrAM for FFYs 2018-2021

higher than the ***18.87%*** base figure, hence it suggests a possible upward step 2 adjustment based on this factor.

VIII. APPROACH AND CALCULATION FOR MAKING STEP 2 ADJUSTMENT TO WEIGHTED BASE FIGURE:

Based on DBE participation and WMATA's current capacity of DBEs to perform work as measured by the volume of work DBEs have performed in recent years (2017-2021), the Keen Independent Research Disparity Study suggests a possible upward step 2 adjustment based on this factor.

However, these 2 USDOT "*Tips for Goal-Setting*[13]" suggest:

- Agencies or federal recipients should examine data on past DBE participation on their USDOT-funded contracts in recent years (i.e., Past Participation-the volume of work DBEs have performed in recent years or other measures that demonstrate current capacity).
- Agencies or federal recipients should take one-half of the difference between the base figure and evidence of current DBE capacity as one approach to calculate the step 2 adjustment for that factor.

WMATA's Adjustment to Weighed Base Figure & Overall DBE Goal for FFY 2023-2025 Was Calculated as Follows[14]:	
Evidence of Current Capacity of Past DBE Participation:	23.18%
Less (Difference): Weighted Base Figure from Keen's Disparity Study in 2022:	18.87%
	4.30%
Divide (÷) By:	2
Adjustment: (Half of this difference is an upward adjustment for current capacity):	2.15%
Add Weighted Base Figure from the Disparity Study (Reason: We are adjusting upward):	18.87%
Adjustment for current capacity (Upward step 2 adjustment):	21.02%
Overall DBE Goal: (Upward adjustment of DBE Goal for Current Capacity is, therefore:	**21.02%**

From the above calculation, the overall DBE Goal for FFY 2023 – 2025 is **21.02%.** WMATA disparity study concluded that disparities exist in contracting opportunities for minority and

[13] Data Source: ***U.S. DOT (2014)****;* Tips for Goal setting in the Disadvantaged Business Enterprise (DBE) Program. Retrieved. III. Step Two: March 20, 2022 from: https://www.transportation.gov/osdbu/disadvantaged-business-enterprise/tips-goal-setting-disadvantaged-business-enterprise or https://www7.transportation.gov/osdbu/disadvantaged-business-enterprise/final-rule-section-26-45 .

[14] Data Source: ***Keen Independent Research, LLC*** 2022 Disparity Study for WMATA. Appendix M. Goal Setting-DBE Step 2 Adjustment M-6. Or Potential Step 2 Adjustments to WMATA's Overall DBE Goal for FTA-Funded Contracts compiled from Keen's 2022 Disparity Study's Appendix M-Page 10.

women-owned businesses in WMSA. However, WMATA has determined that it is relevant and appropriate to use its disparity study to adjust its DBE goal percentage to **21.02%**.

IX. RACE/GENDER-NEUTRAL AND RACE/GENDER-CONSCIOUS DIVISION OF GOAL (49 CFR Section 26.45(f)(3))

Steps in Calculating Race-Neutral (R.N.) and Race-Conscious (R.C.) Measures:

Table 9[15]**:** *DBE Goal, Overall DBE Achievement, and Race-Conscious/Race-Neutral Attainment Breakdown.*

Year	FFY 2018	FFY 2019	FFY 2020	FFY 2021
DBE Goal	**22%**	**22%**	**25%**	**25%**
Achievement (R.C./R.N.)	**21.21%** **(10.07/11.14)**	**25.81%** **(18.92/6.89)**	**20.45%** **(20.05/0.41)**	**25.14%** **(22.18/2.96)**
Race Conscious Component (R.C.)	**10.07%**	**18.92%**	**20.05%**	**22.18%**
Race Neutral Component (R.N.)	**11.14%**	**6.89%**	**0.41%**	**2.96%**

Step 1: Determine the Relative Race Neutral Attainment for each year: Determination of Median.

Relative Race Neutral Attainment is determined first by arranging from the lowest to highest the Race Neutral of all the four years per Table 10 below:

Table 10[16]**:** *Determination of Median Relative Race Neutral Attainment.*

R.N. Achievement	FFY 2020	FFY 2021	FFY 2019	2018
Race Neutral Component (Achievement)	**0.41%**	**2.96%**	**6.89%**	**11.14%**

From Tables 9 and 10, WMATA's Uniform Reports of DBE Awards/Commitments and Payments submitted to FTA for the most recent federal fiscal years (FFYs 2018-2021) indicate a

[15] Data Source: WMATA ***Semi-Annual Reports*** & ***Uniform DBE Reports*** submitted to/obtained from FTA's TrAM for FFYs 2018-2021
[16] Data Source: WMATA ***Semi-Annual Reports*** & ***Uniform DBE Reports*** submitted to/obtained from FTA's TrAM for FFYs 2018-2021

median race-neutral participation of **4.93%.** This is calculated as: 2.96% + 6.89% = 9.85% ÷ 2 = **4.93%** (4.925%).

Relative RN Attainment = RN Attainment ÷ Overall DBE Achievement
Relative RN Attainment = 4.93% ÷ 21.02% = 0.2345 = **23.45%**

Step 2: Calculate Absolute RN Attainment:

Absolute RN Attainment = Relative RN Attainment (i.e., in Step 1) X Proposed DBE Goal
Absolute RN Attainment = 23.45% * 21.02% = 4.93%. Race Neutral is: **4.93%.**

Step 3: **Calculate RC Split**:

RC Split = Proposed DBE Goal – Absolute RN Attainment.
RC Split = **21.02%** (*Proposed DBE Goal*) – **4.93%** (*Absolute RN Attainment*) = **16.09%.**
That is, 21.02% – 4.93% = 16.09%. Race Conscious =**16.09%**

Therefore, WMATA's proposed Overall Goal for FFY 2023 – 2025 is ***21%,*** with ***5%*** Race Neutral and ***16%*** Race-Conscious.

X. RACE/GENDER-NEUTRAL METHODS *(49 CFR* Section *26.51(b))*

WMATA believes that it can achieve the **5%** race-neutral goal by continuing to expand its outreach efforts to identify, certify and assist DBE firms and other Small Businesses and encourage them to participate more fully in WMATA procurement processes.

The following are the continuing efforts WMATA plans to establish to ensure and foster DBE and small business participation. WMATA will:

- Ensure request for procurement proposals (RFPs) or bids
- are attractive to DBEs and make DBEs aware of federally funded and assisted contracting programs and opportunities.
- Arrange solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate participation by DBEs and other small businesses and by making contracts more accessible to small businesses.
- Provide assistance in overcoming limitations, such as, the inability to obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and providing services to help DBEs and other small businesses obtain bonding and financing).
- Provide technical assistance and other services, as warranted.
- Deliver information via outreach programs to business community on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs and other small businesses on recipient mailing lists for offerors/bidders; ensuring the dissemination to offerors/bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate).
- Ensure distribution of the DBE Directory, through print and electronic means, to a vast population of potential prime contractors.

- Perform outreach to non-certified DBEs which will include activities related to increasing the population of available DBEs to perform work on federally funded contracts.
- Assist DBEs and other small businesses to develop their capability to utilize emerging technology enabling them to conduct business through electronic media; and
- Conduct a disparity study during the upcoming triennial period. This will be a new one since the last one ended in 2/2022. The disparity study will include analyses of DBE availability and utilization on federally funded and assisted contracts across all procurement types as well as identify any evidence of discriminatory behavior in the market area.

XI. WMATA's Best Practice Strategies

Over the years and currently, WMATA's DBE Program's primary focus centers on competition within FTA-assisted projects that include small business concerns and certified DBE firms. The following plan and best practice strategies highlight the actions and activities that WMATA uses to target small businesses, including certified DBE firms. These narrowly tailored strategies focus on proactively reaching out to the small business community and DBEs through WMATA's DBE Program to increase the number of these firms available to compete and perform on federally funded contracts.

- WMATA's Small Business Program Office (SBPO) provides networking opportunities and technical assistance at pre-bid and pre-proposal meetings, and Procurement Review Committee (PRC) meetings.
- WMATA works internally to ensure that small businesses and DBEs are well represented in FTA-assisted contracts and subcontracts by proactively encouraging unbundling where feasible and other acquisition techniques.
- Through the Disadvantaged Business Enterprise (DBE) program, it is WMATA's policy that DBEs have an equal opportunity to receive and participate in federally assisted contracts.
- Continue to ensure that contractors and subcontractors are not discriminated against based on race, color, national origin, sex or any other unlawful basis in the award and performance of contracts and subcontracts.
- WMATA continues to implement a supportive services and programs such as financing and bonding training, business development programs, outreach, and other trainings for DBEs and SBEs.
- Another best practice incorporated in WMATA's procurement process involves procurements ~~are~~ designed to remove artificial barriers to participation on federally assisted contracts and a level playing field on which DBEs can compete fairly.

XII. GOAL ADVERTISEMENT AND PUBLIC PARTICIPATION PROCESS *(49 CFR Section 26.45(g)(1)(2))*

Pursuant to Public Participation Regulatory Requirements cited in Title 49 CFR Part 26.45(g)(1)(i)(ii), WMATA, as a recipient of U.S. FTA assistance is required to submit its established triennial goal setting methodology to advocacy groups for comment. These groups include minority, women, and general contractors, local business associations, and community organizations within the market area it serves. These efforts are undertaken to ensure they have information concerning the availability of DBEs and provide them with an opportunity to review the triennial goal-setting methodology and provide their input.

A. Public Participation Schedule

- July 14, 2022: Public Notice, publishing WMATA's Proposed Overall Goal for the FFYs 2023-2025. The Notice informed the public that the proposed goal and rationale were available for inspection at WMATA's website for 30 days following the date of the Public Notice and that WMATA will accept comments on the goal analysis for 30 days from the date of the Public Notice.
- July 14, 2022: Draft of Overall DBE Goal Setting Methodology posted on WMATA's Website.
- July 22, 2022: Conduct Public Participation via consultation, telecommunication, and forum with stakeholders (Virtual).
- July 30, 2022: Final date for receipt of any comments received will be summarized in Table 11 under response).
- August 1, 2022: Deadline for document submittal to the FTA.

B. Public Consultation and Forums

The regulations require that Grantees provide consultation and public participation when establishing their overall goals. WMATA scheduled industry forums on July 22, 2022 to gather information for its 2023–2025 DBE triennial goal methodology submission. Members from the public have been invited to attend the forum and provide an opportunity to review WMATA's goal analysis and provide input. Members from the public include but not limited to women and minority-owned business owners and representatives from the following organizations:

- Capital Region Minority Supplier Development Council;
- Maryland Minority Contractors Associates;
- National Association of Minority Contractors;
- National Association of Minority Consulting Engineers;
- National Association of Black Engineers;
- US Black Chamber of Commerce;
- National Black Chamber of Commerce;
- Women Business Enterprise National Council;
- Maryland Hispanic Chamber of Commerce;

- DC Chamber of Commerce;
- United States Pan Asian American Chamber of Commerce;
- Northern Virginia Hispanic Chamber of Commerce;
- DC Department of Small and Local Business Development;
- Prince George's County Supplier Development and Diversity;
- Montgomery County Office of Procurement and Small Businesses;
- Minority Business Development Agency (Wash DC Business Ctr.);
- All Prime and DBE contracting firms in WMATA Supply Portal and DCUCUP.

WMATA invited 25 Minority Organizations and over 1000 WMATA's Prime and Sub-Contractors with flyers and access to copies of the Goal Setting Methodology on its website to attend the public forum. The forum will provide the opportunity to present a brief overview of the DBE program that led to discussions concerning evidence regarding the lingering effects of past
discrimination, WMATA's efforts led to establish a level playing field for DBEs, the availability of disadvantaged and non-disadvantaged businesses to work on federally funded contracts, and the FFY 2023-2025 triennial goal methodology as well as other key topics discussed and outlined in Tables 11 and 12 below.

Table 11: *Public Consultation and Forums Schedule.*

Schedule Date	Forum Description	Location
July 22, 2022	Public Forum	WMATA (Virtual Conference/Meeting via Microsoft Teams)

Some of the critical topics that were discussed included the following in Table 12 below:

Table 12[17]**:** *Results of the Public Consultation and Forums.*

Topic Discussed	Response
TBD	TBD
"	"
"	"
"	"
TDB	TBD

WMATA has effectively engaged in public outreach and has provided an opportunity for business owners, industry associations, and community groups to participate in discussions

[17] **Table 12** will be updated for all comments received after the Public Consultation.

relevant to the goal-setting process. WMATA has considered the comments and questions received participants from the public forum and believes that the proposed triennial overall DBE goal for **FFY 2023 –2025** of **21%** accurately reflects the ready, willing, and able DBE firms available for participation on federally funded contracts.

C. Public Notice

Public Notice on WMATA's website for the published Draft of the Proposed FTA Overall DBE Goal-Setting Methodology for FFY 2023-2025

As required by the U.S. Department of Transportation's regulations, contained under 49 CFR Part 26, WMATA is required to publish a notice of the proposed Disadvantaged Business Enterprise triennial goal. The DBE Triennial Overall Goal is **21%** of WMATA's FTA-assisted contracting opportunities effective from October 1, 2022, to September 30, 2025. In addition, the race/gender-conscious portion of the goal is **16%,** and the race/gender-neutral portion of the goal is **5%.** The DBE overall goal is based upon verifiable evidence of the availability of RWA DBEs relative to all businesses ready, willing, and able to participate in FTA-assisted contracts and the 2022 Keen Independent Research, LLC.'s Disparity Study.

WMATA issued a Public Notice on its website publishing the Draft Proposed FTA Overall DBE Goal-Setting Methodology for FFYs 2023-2025 (See **Exhibit 1)**. The notice informed the public that the proposed goal and rationale will be available for review and inspection on WMATA's website for 30 days following the date of the Public Notice and that WMATA would accept comments on the proposed goal for 15 days from the date of the Public Notice. The review, inspection, and comments are available until **July 30, 2022,** at:

Washington Metropolitan Area
Transit Authority (WMATA)
IBOP/SBPO, 300 7th Street SW
Washington, DC 20024

All written comments and any questions should be sent to ***Joseph Badu***, Small Business Program Office by email at SBPOhotline@wmata.com

XIII. Events, Outreaches and Networking Activities

In accordance with the goal-setting and public participation regulatory requirements, WMATA's DBE and Small Business Office (SBPO) participated as hosts, exhibitors, and trainees in the following 2020 - 2022 public outreach, events, and training organized by institutions in Washington, D.C., Maryland, and Virginia jurisdictions.

Table 13 lists events for outreach and networking activities for minority and women-owned businesses and certified DBEs within Washington, D.C. Metropolitan Statistical Area (WMSA) hosted and sponsored by WMATA.

Table 13: *Outreach/Networking Activities Hosted by WMATA/Small Business Program Office (SBPO) Between 2019 – 2022.*

Date of Event	Type & Location of Event	WMATA Attendees	Description of Event
6/28/2022	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	WMATAs Meet the Primes *(HNTB, RK&K, AECOM, and Jacobs Engineering Group)*
6/23/2022	Virtual (Through Microsoft Teams)	SBPO Staff and WMATA Procurement Senior Management	Pathway to Doing Business with Metro *(DSLBD Presentation with WMATA)*
6/6/2022	In-Person	SBPO Staff and WMATA Procurement Senior Management	MWMCA Business Showcase Expo *(MWMCA Presentation with WMATA)*
6/3/2022	Virtual (Through Microsoft Teams)	SBPO Staff and WMATA Procurement Senior Management	The CEO Roundtable – Presentation on SBPO Socioeconomic Programs) *(CRMSDC Presentation with WMATA)*
5/9/2022	Virtual (Through Microsoft Teams)	SBPO Staff and WMATA Procurement Senior Management	COTR Training Overview of programs and contract compliance

5/2/2022	Virtual (Through Microsoft Teams)	SBPO Staff and WMATA Procurement Senior Management	COTR Training - Overview of programs and contract compliance
4/29/2022	Virtual (Through Microsoft Teams)	SBPO Staff and WMATA Procurement Senior Management	WMATA Facilities Management Group
4/25/2022	Virtual (Through Microsoft Teams)	SBPO Staff and WMATA Procurement Senior Management	COTR Training - Overview of programs and contract compliance
4/21/2022	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	Doing Business with WMATA (New Socioeconomics Programs Presentation)
4/18/2022	Virtual (Through Microsoft Teams)	SBPO Staff and WMATA Procurement Senior Management	COTR Training- Overview of programs and contract compliance
4/7/2022	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	Meet the Primes: Metro wants to do Business with your business (*Clark Construction Group, Hensel Phelps, Kiewit, WSP Global)*
2/24/2022	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	WMATA New Socioeconomic Programs and Bonding Presentation: SBPO Town Hall Meeting
11/15/2021	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	WMATA New Socioeconomic Programs and Bonding Presentation (*Repeated Event*)
10/19/21	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	Making Equity a Business Partnership
9/13/2021	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	WMATA New Socioeconomic Programs and Bonding Presentation (*First Event*)

6/2/2021	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Senior Management	Meet the Prime: Metro wants to do Business with your business (*Hitachi Rail 8000 Series Ray Car*)
3/11/2021	Virtual (Through Microsoft Teams)	Entire SBPO Staff and WMATA Procurement Managers	Metro wants to do Business with your business: Moving Business to the Future

**Note: Because of the COVID19 Pandemic, limited or no events and outreaches were attended in late 2019 and 2020.*

Tables 14-16 list virtual events for outreach and networking and training activities attended by WMATA SBPO between 2020 and 2022.

Table 14: *Outreach/Networking Activities Attended by WMATA Small Business Program Office (SBPO) Between 2020 – 2022*.

Date of Event*	Type of Event	WMATA Attendees	Description/Location of Event
6/30/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Business Expo Hosted by Clark Construction (*Clark Construction)*
6/23/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Department of Small & Local Business Development (*DSLBD*) Presents Pathway to Do Business with Metro
6/16/2022	In-Person	SBPO Staff	31st Annual Government Procurement Conference (GPC). *(Walter E. Washington Convention Center, 801 Mount Vernon Place NW Washington, DC 20001)*
6/3/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Minority Business CEO Roundtable (*Minority Business RoundTable-MBRT)*
5/18/2022	Virtual (Through Microsoft Teams)	SBPO Staff	DDOT Virtual Networking Event (*DDOT*)
5/6/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Maryland Washington Minority Companies Association *(MWMCA)* - 19th Annual 2022 Spring Breakfast Meeting/Business Showcase Expo *(MWMCA)*
4/21/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Capital Region Minority Supplier Development Council

			(*CRSMDC*)/Minority Business Development Agency (*MBDA*) Webinar (*CRMSDC Outreach*)
4/19/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Overview of programs and contract compliance (*HNTB*)
3/7/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Tommy Marks with Capital Region Minority Supplier Development Council (*CRMSDC*)
3/2/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Karin Hung with US Pan Asian American Chamber of Commerce (*USPAAACC*)
3/1/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Sheila Dixon with Maryland Minority Contractors Association, Inc., (*MMCA*)
2/25/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Quintina Brown with DC Department of Small and Local Business (*DSLBD*)
2/24/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Daniella Djigan & Mr. Neupane with Washington DC Economic Partnership (*WDCEP*)
2/23/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Meeting with Wayne Frazier of Maryland Washington Minority Contractor Association (*MWMCA*) to discuss all the programs and his marketing assistance
2/1/2022	Virtual (Through Microsoft Teams)	SBPO Staff	Sharon Pinder Group Introductions to Capital Region Minority Supplier Development Council (*CRMSDC*) and Small Business Program Office (*SBPO*) introductions of new programs *(CRMSDC)*
12/10/2021	In-Person	Rashida Reid	Maryland Washington Minority Contractor Association (*MWMCA*) Minority Outreach Fair. Live Casino (*Hotel at Arundel Mills, Maryland)*
11/7/2019	In-Person	Thomas Turner Cletis Cave	US Department, *OSDBU* Outreach and Matchmaking Event (*1200 New Jersey Avenue, SE, Washington, DC 20001*)
11/4/2019	In-Person	Carl Farmer Rashida Reed	Maryland Department of Transportation (*MDOT*), General Services and University System of Maryland, 5th Annual Business Opportunities and Entrepreneurial Training Summit (*Turf Valley Conference*

			Center, 2700 Turf Valley Road, Ellicott City, MD 21042)
10/29/2019	In-Person	Thomas Turner Cletis Cave	Washington Metropolitan Council of Governments (*COG*) "Second Annual Sustainable Purchasing Forum and Expo 2019" (*Hyatt Regency Dulles, 2300 Dulles Corner Blvd., Herndon, VA 20171*)
10/23/2019	In-Person	Thomas Turner	Trade Partners Outreach and Networking Session (Hensel Phelps and Kiewit) (*Courtyard Alexandria, 4641 Kenmore Avenue, Alex., VA 22304)*
10/3/2019	In-Person	SBPO Staff	CRMSDC "Annual Leaders and Legends Awards Conference featuring Top 100 MBEs (*MGM National Harbor*)

** Note: Because of the COVID19 Pandemic, limited events and outreaches were attended in late 2019 and 2020.*

Table 15: *FTA/U.S. DOT DBE Training Activities Attended by WMATA Small Business Program Office (SBPO) Between 2020 – 2022.*

Description	NTI Disadvantaged Business Enterprise Training (Virtual/Zoom)	USDOT DBE Contract Goal Setting Training (Virtual/Zoom)	FTA Region 3 F.Y. 2022 Triennial Review/State Management Review (Virtual Training Workshop)
Attendance Date	**2/14/2022 – 2/18/2022**	**3/2/2022**	**2/7/2022 – 2/11/2022**
WMATA Attendees	Meshelle Howard, Michael Bing	Meshelle Howard, Marlo, Lilliette Rivera, Johnson, Joseph Badu	Meshelle Howard, Marlo Johnson, Lilliette Rivera, Joseph Badu

** Note: Because of the COVID19 Pandemic, limited events and outreaches were attended in late 2019 and 2020.*

Table 16: *WMATA Required Safety (RWP) Training Attended by WMATA Small Business Program Office (SBPO) Between 2020 – 2022.*

Road Worker Protection (RPW) Safety Training (In-Person Training held at the MSF Building, Landover, MD)	
Attendance Date	**WMATA/SBPO Attendees**
6/15/2022	Wenona Mewborn
3/24/2022	Meshelle Howard
2/16/2022	Mohammed H. Abiola
12/27/2021	Rashida Reed
11/30/2021	Carl Farmer
7/13/2021	Marlo Johnson, Michael Bing, Lilliette Rivera, Anthony Spain, Joseph Badu,

** Note: Because of the COVID19 Pandemic, limited events and outreaches were attended.*

XIV. EXHIBIT FOR PUBLIC NOTICE AND PUBLIC FORUM

Exhibit 1: Public Notice (Flyer) Posted on WMATA's Website

The Washington Metropolitan Area Transit Authority (Metro) is seeking public comments on its Triennial Disadvantage Business Enterprise (DBE) Goal Setting Methodology for fiscal years, 2023, 2024, and 2025. In accordance with U.S. Department of Transportation regulations under 49 C.F.R. Part 26, Metro has established the following Triennial DBE Participation Goals:

- Metro's Proposed DBE participation for Federal Fiscal Years 2023, 2024, and 2025
- 16% of funds attained through race-conscious methods
- 5% of funds attained through race-neutral methods

A virtual public forum will be held using Microsoft Teams on Friday, July 22, 2022, from 1 p.m. to 4 p.m. EST.

For the best experience, please download and install the Microsoft Teams application.

To register for the forum or submit comments, click here.
Comments will be accepted until July 30, 2022 at 4 p.m. EST.

If you have questions, email Joseph Badu at SBPOHotline@wmata.com and use the subject line: Triennial DBE Goal Setting Methodology

Metro's Proposed DBE Program Plan is available for inspection at:
https://www.wmata.com/business/Small-Business-Programs-Office/resources.cfm

XV. EXHIBITS FOR WMATA PUBLIC PARTICIPATION IN DBE OUTREACH/NETWORKING EVENTS IN 2020, 2021 & 2022

Exhibits 2-12 are virtual events for outreach and networking activities for minority and women-owned businesses and certified DBEs within Washington, D.C. Metropolitan Statistical Area (WMSA) hosted and sponsored by WMATA SBPO and attended by minority organizations.

Events for 2022

Exhibit 2

Exhibit 3

DSLBD PRESENTS

PATHWAY TO DOING BUSINESS WITH METRO

Learn how to do business with
Metro's Small Business Program's Office.

Thursday June 23, 2022

10 a.m. – Noon

Hosted on Microsoft Teams

Exhibit 4

Mark Your Calendar and Join Metro at the MWMCA Business Showcase Expo.

Metro's Small Business Programs Office will be among 80 prime and government entities showcasing their business opportunities and sharing information regarding programs designed to help Small, Minority and Women-Owned businesses. This in-person event is happening in Martin's West in Baltimore, MD on Friday, May 6 from 7:30 a.m. until 12:30 p.m.

To register for this free expo, click here.

Exhibit 5

SMALL BUSINESS PROGRAMS OFFICE

Metro wants to do business with small businesses.

CRMSDC MBEIC presents

The CEO Roundtable

Featuring Metro's
Small Busines Program's Office

Join us for a presentation on
our Socioeconomic Programs!

Friday, June 3, 2022
8 – 9 a.m.

TO JOIN THIS EVENT, CLICK HERE.

Capital Region
Minority Supplier
Development Council

metro

Exhibit 6

SMALL BUSINESS PROGRAMS OFFICE

The Washington Metropolitan Area Transit Authority's Small Business Programs Office (SBPO) introduces its new Socioeconomic Programs Training.

During this training, we will provide:

- an overview of the current and new socioeconomic programs
- a high-level overview of the certification processes
- the purpose of contract compliance
- legal requirements and/or guidance of contract compliance
- prompt payment requirements
- information about pre- and post-award procurement cycles

We hope you find this training informative and helpful. And we look forward to seeing you at one of the trainings.

To register, click on one of these dates:

Monday, April 11, 2022

Monday, April 18, 2022

Monday, April 25, 2022

Monday, May 2, 2022

There will be two training sessions on these days for you to choose from — the first at 10:00 a.m. and the second at 1:00 p.m.

If you have any questions or need assistance, contact us at SBPOHotline@wmata.com.

metro

Exhibit 7

Exhibit 8

Events for 2021

Exhibit 9

Exhibit 10

Exhibit 11

Exhibit 12

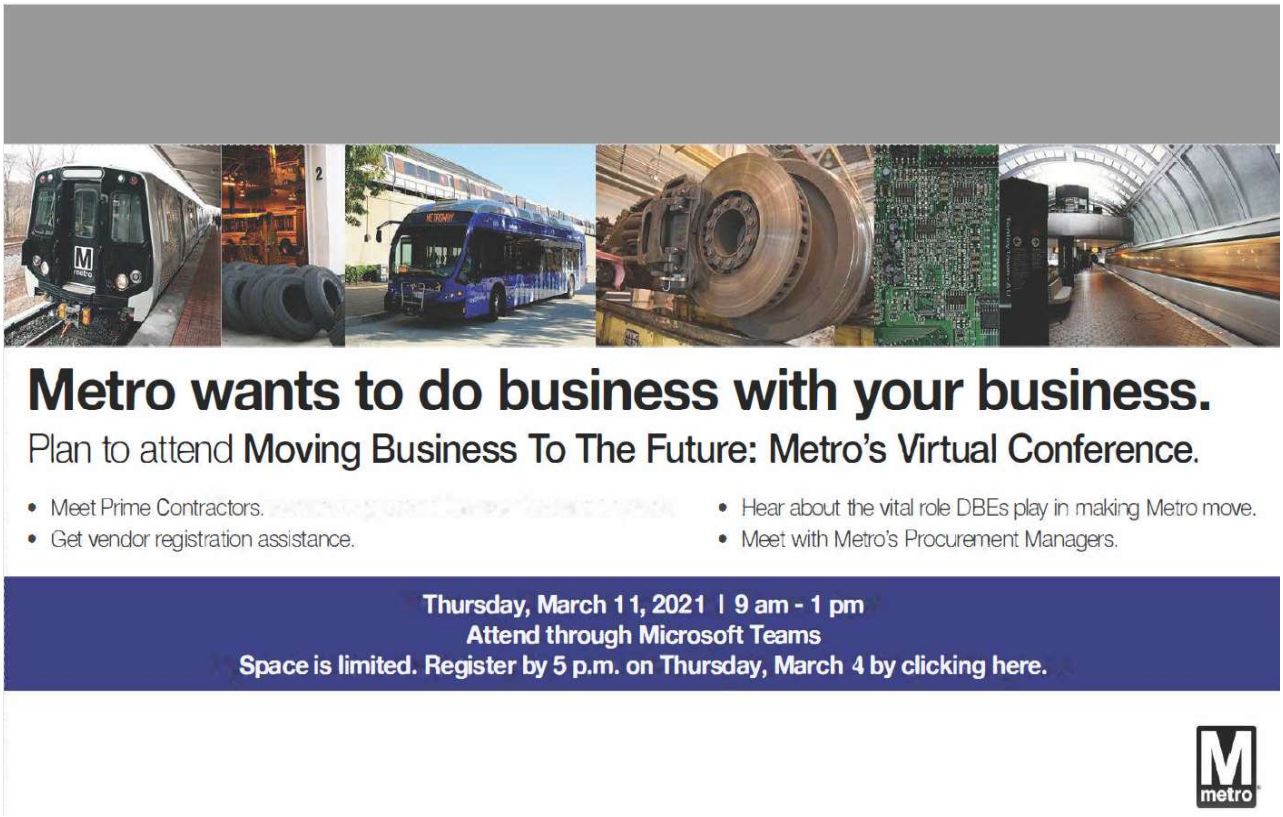


Exhibit 13-14 are in-person events, outreaches, and networking activities for minority and women-owned businesses and certified DBEs within Washington, D.C. Metropolitan Statistical Area (WMSA) hosted and sponsored by other minority organizations and attended by WMATA SBPO in 2022 & 2021.

Exhibit 13

Health & Safety Guidelines for the Convention Center

Welcome

Welcome to the 31st Annual Government Procurement Conference (GPC) website.

The Government Procurement Conference is a national conference fostering business partnerships between the Federal Government, its prime contractors, and small, minority, service-disabled veteran-owned, veteran-owned, HUBZone, and women-owned businesses. Now in its 31st year, the Government Procurement Conference has become the premier event for small businesses throughout the United States.

Participating firms will have the benefit of marketing their products/services to procurement representatives and small business specialists from government agencies.

The conference also includes educational conference sessions, procurement matchmaking and a dynamic Government and Prime Contractor exhibitor showcase.

REGISTER NOW

Exhibit 14

MEET TOP COMPANIES INTERESTED
IN DOING BUSINESS WITH YOU!
MINORITY OUTREACH FAIR
FRIDAY, DECEMBER 10, 2021
8:00AM – NOON | LIVE! CASINO & HOTEL • EVENT CENTER
Small, Minority & Women Owned Firms:
• Construction
• Construction Services
• Suppliers
• Professional Services
OVER 80 EXHIBITORS TO MEET & GREET
There is no cost to attend or participate in this event.
FREE parking is available
Select Here to Register or Contact MWMCA AT
EVENTS@MWMCA.ORG
Live! CASINO • HOTEL
MARYLAND
At Arundel Mills. Must be 21. Please play responsibly, for help visit mdgamblinghelp.org or call 1-800-GAMBLER. ©2021

XVI. CONCLUSION

In conclusion, WMATA will continue to analyze the DBE awards and commitments reported to the FTA on a semi-annual basis. If the DBE awards/commitments are less than the overall DBE goal, WMATA will develop and submit a written analysis of the shortfall, as well as the corrective actions which will be implemented to remedy the shortfall. Also, if any significant changes are made to WMATA's FTA-assisted contracting program, WMATA will re-calculate the overall DBE goal and, if warranted, submit an adjusted overall DBE goal for FTA approval.

XVII. TABLE OF ACRONYMS

Acronym	Term
CBP	County Business Patterns (Census Bureau)
CFR	Code of Federal Regulations
CLM	Contract Lifecycle Management
CIP	Capital Improvement Projects
CRMSD	Capital Region Minority Supplier Development Council
DBE	Disadvantaged Business Enterprise
DOT	Department of Transportation
FFY	Federal Fiscal Year
FTA	Federal Transportation Administration
IBOP	Internal Business Operations
MDOT	Maryland Department of Transportation
MPP	Median Past Participation
MPR	Multi-Processor Rail
MSA	Metropolitan Statistical Area
MWUCP	Metropolitan Washington Unified Certification Program
NAICS	North America Industry Classification System
NTI	National Transportation Institute
PRC	Procurement Review Committee
RC	Race-Conscious
RN	Race-Neutral
RWA	Ready, Willing, and Able
RWP	Roadway Worker Protection
SBE	Small Business Enterprise
WMATA	Washington Metro Area Transit Authority
WMSA	Washington Metropolitan Statistical Area