



Executive Committee

Action Item III-A

October 8, 2020

Inspector General's FY2021 Annual Work Plan

Washington Metropolitan Area Transit Authority
Board Action/Information Summary

☒ Action ☐ Information

MEAD Number:
202214

Resolution:
☐ Yes ☒ No

TITLE:

Acceptance of OIG's Annual Audit Plan FY2021

PRESENTATION SUMMARY:

The Executive Committee's acceptance of The Office of Inspector General's Annual Audit Plan Fiscal Year 2021.

PURPOSE:

The Executive Committee's acceptance of OIG's audit plan entitled:

Annual Audit Plan Fiscal Year 2021

DESCRIPTION:

The Executive Committee's acceptance of OIG's Annual Audit Plan for Fiscal Year 2021.

Key Highlights:

This Fiscal Year 2021 Annual Audit Plan sets forth the Office of Inspector General's strategy for identifying priority audit issues and managing its workload and resources. As with last year, our planned audits are organized around four focus areas:

- Safety, Reliability and Customer Service
- Financial Management
- Information Technology
- Human Capital and Asset Management

We are currently in unprecedented times, and WMATA is facing tough decisions every day to manage through the COVID-19 pandemic. Within these focus areas, we have prioritized audits to address new risks identified as a result of the COVID-19 pandemic. These audits focus on employee and customer health and well-being, financial and procurement soundness, and information security supporting a remote workforce.

Background and History:

Acceptance of this audit plan constitutes the Board's authorization to post this audit plan on the WMATA website provided the Inspector General has conferred with the General Counsel and confirmed that any private or confidential information has been redacted in accordance with applicable law and WMATA policy.

Discussion:

The work highlighted in this audit plan demonstrates OIG's commitment to promoting accountability, efficiency, and effectiveness in WMATA's programs and operations and keeping the Board of Directors fully and currently informed about deficiencies in WMATA's activities, as well as the necessity for and progress of corrective actions.

The Inspector General has conferred with the General Counsel and confirmed that any private or confidential information has been removed/redacted in accordance with applicable law and WMATA policy.

FUNDING IMPACT:

There is no impact on funding.

TIMELINE:

Anticipated actions after presentation: Executive Committee's acceptance of this audit plan.

RECOMMENDATION:

Executive Committee accepts OIG's audit plan.