

Washington Metropolitan Area Transit Authority

Board Action/Information Summary

☒ Action ☐ Information

MEAD Number:
202094

Resolution:
☐ Yes ☒ No

TITLE:

Acceptance of OIG's Reports

PRESENTATION SUMMARY:

The Inspector General's Semiannual Report to the Board of Directors, No. 24 summarizes the activities and accomplishments of the Office of Inspector General (OIG) during the six-month period ending June 30, 2019. The Annual Audit Plan for Fiscal Year 2020, sets forth OIG's planned audit work.

PURPOSE:

The Executive Committee's acceptance of OIG's reports entitled:

- Semiannual Report to the Board of Directors, No. 24
- Annual Audit Plan for Fiscal Year 2020

DESCRIPTION:

Key Highlights:

- Semiannual Report to the Board of Directors, No. 24

Situation: During this reporting period, OIG contributed to two criminal convictions, issued six reports of investigation, and issued one interim report of investigation.

Special projects resulted in four management alerts and three management assistance reports.

Audit work resulted in six reports with \$36 million in funds put to better use and 40 contract audit reports with \$3.7 million in savings.

Impact: OIG issued six audit and evaluation reports that identified significant weaknesses in WMATA's procurement processes, cybersecurity controls, asset management practices, and human resource programs.

Management Solution: Management has on-going actions to correct identified deficiencies.

- Annual Audit Plan for Fiscal Year 2020

Situation: The FY2020 Annual Audit Plan sets forth OIG's strategy for identifying priority audit issues and managing its workload and resources. The planned audits are organized around four focus areas:

- Safety, Reliability and Customer Service
- Financial Management
- Information Technology
- Human Capital and Asset Management

This audit plan aligns with WMATA's 2019-2028 strategic plan entitled "Keeping Metro Safe, Reliable & Affordable." OIG sought input in developing this annual plan from the General Manager/Chief Executive Officer and senior WMATA officials.

Impact: Although the audit plan is a projection of what the Office of Audits would like to accomplish in FY2020, other issues may rise in importance and require our audit resources.

Background and History:

Acceptance of these reports constitutes the Board's authorization to post these reports on the WMATA website provided the Inspector General has conferred with the General Counsel and confirmed that any private or confidential information has been redacted in accordance with applicable law and WMATA policy.

Discussion:

The work highlighted in these reports demonstrates OIG's commitment to promoting accountability, efficiency, and effectiveness in WMATA's programs and operations and keeping the Board of Directors fully and currently informed about deficiencies in WMATA's activities, as well as the necessity for and progress of corrective actions.

The Inspector General has conferred with the General Counsel and confirmed that any private or confidential information has been removed/redacted in accordance with applicable law and WMATA policy.

FUNDING IMPACT:

There is no impact on funding.

TIMELINE:

Anticipated actions after presentation: Executive Committee's acceptance of these reports.

RECOMMENDATION:

Executive Committee accepts these OIG reports.