



**Executive Committee**

**Action Item III-A**

**September 14, 2023**

## **Acceptance of Inspector General's Reports**

Washington Metropolitan Area Transit Authority

## Board Action/Information Summary

☒ Action ☐ Information

Document  
Number:  
205590

Resolution:  
☐ Yes ☒ No

**Presentation Name:**

OIG's Semiannual Report to the Board, No. 32; FY24 Annual Audit Plan

**Project Manager:**

Rene Febles

**Project Department:**

OIG

**Purpose/Key Highlights:**

The Executive Committee's acceptance of The Inspector General's Semiannual Report to the Board of Directors, No. 32 and FY2024 Annual Audit and Evaluation Plan.

Annual Audit Plan

This Plan sets forth OIG's strategy for identifying priority issues and managing its workload and resources. Our plan generally aligns with WMATA's Strategic Transformation Plan entitled "Your Metro, The Way Forward."

Semiannual

The Inspector General's Semiannual Report to the Board of Directors, No. 32 summarizes the activities and accomplishments of the Office of Inspector General (OIG) during the six-month period ending June 30, 2023.

Acceptance of these final reports constitutes the Board's authorization to post them on the WMATA OIG website provided the Inspector General has conferred with the General Counsel and confirmed that any private or confidential information has been redacted in accordance with applicable law and WMATA policy.

**Interested Parties:**

None

**Background:**

### Annual Audit Plan

Our planned work is organized around several focus areas:

- Safety, Reliability, and Convenience
- Financial and Environment Sustainability
- Information Technology and Procurement
- Talented Teams and Asset Management

### Semiannual

Board Resolution 2006-18 requires the Inspector General to make a report periodically to a committee of the Board designated by the Board as the committee may require, and semi-annually to the Board of Directors.

### **Discussion:**

The work highlighted in these reports demonstrates OIG's commitment to promoting accountability, efficiency, and effectiveness in WMATA's programs and operations and keeping the Board of Directors fully and currently informed about deficiencies in WMATA's activities, as well as the necessity for and progress of corrective actions.

### Annual Audit Plan

The work highlighted in the Plan demonstrates OIG's commitment to promoting accountability, efficiency, and effectiveness in WMATA's programs and operations and keeping the Board of Directors fully and currently informed about deficiencies in WMATA's activities, as well as the necessity for and progress of corrective actions.

### Semiannual

During the six months covered by this report, OIG investigative work resulted in three Reports of Investigation, one Management Assistance Report, and the sentencing of a former WMATA employee to 18 months in prison for contract fraud, including \$430,177 in restitution to WMATA. Additionally, OIG referred four companies to the Office of Procurement for debarment. OIG audit work resulted in the issuance of 22 contract audits, one Buy America audit, two performance audit reports, and one evaluation report. OIG audit work led to the identification of \$18.9 million in total possible savings identified through contract audits. OIG also continued to focus our efforts on procurement fraud.

### **Funding Impact:**

There is no impact on funding.

### **Previous Actions:**

There were no previous actions

### **Next Steps:**

Executive Committee's acceptance of The Inspector General's Semiannual Report to the Board of Directors, No. 32 and FY2024 Annual Audit and Evaluation Plan.

**Recommendation:**

Approval to: post OIG's Semiannual Report to the Board, No. 32 and FY24 Annual Audit Plan on the WMATA OIG website.